It is the policy of the university to comply with U.S. export control laws. Export control laws restrict certain types of information, technologies, and commodities that can be transmitted overseas to individuals, including U.S. citizens, or made available to Foreign Persons (defined to include any person who is not a citizen, Legal Permanent Resident (i.e., “green card holder”) or asylee/refugee of the United States) on U.S. soil. It is the responsibility of faculty and administrators to be aware of and comply with these laws and the university’s written instructions and procedures.

The purpose of the following questionnaire is to assist the university in assessing whether nuclear-related research activities will involve any technology, services, software, or commodities that are subject to U.S. export control laws, including the following:

* Department of Energy’s Part 810 Regulations
* Nuclear Regulatory Commission’s Part 110 Regulations
* Department of Commerce’s Export Administration Regulations (EAR)
* Department of State’s International Traffic in Arms Regulations (ITAR)

Please complete the checklist below regarding activities and items involved in the specific nuclear-related project at issue and return the completed form to **resinteg@gwu.edu** **or Rice Hall Suite 601**. If you have any questions, please contact **resinteg@gwu.edu**.

Name and Location of Sponsor/External

Collaborator/Grantor: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Brief Description of Nuclear-Related

Project at Issue: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Completed by:**

Name of Principal Investigator: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Nature of the Project**

|  |  |  |
| --- | --- | --- |
| **1.**  | 🞏 Yes 🞏 No  | Will the project involve technology or services related to nuclear reactors, in particular services related to systems within the Nuclear Steam Supply System (NSSS)?  |
|  | If yes, please describe: | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| **2.** | 🞏 Yes 🞏 No  | Will the project involve other elements of the nuclear fuel cycle, including nuclear fuel fabrication, conversion of UF6, or storage, handling, or transportation of spent fuel? |
|  | If yes, please describe: | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| **3.**  | 🞏 Yes 🞏 No  | Will the project involve technology or activities related to any of the following (check all that apply):  |
|  | 🞏  | Nuclear production, test, or research reactors |
|  | 🞏  | Facilities for enrichment or reprocessing  |
|  | 🞏  | Facilities for the production of nuclear fuel containing plutonium |
|  | 🞏  | Accelerator-driven subcritical assembly systems |
|  | 🞏  | Facilities for the production of heavy water |
|  | 🞏 🞏 🞏 🞏  | Naval nuclear propulsion systemsNuclear storage or processing facilitiesFacilities for storage or testing of nuclear payloadsNuclear weapons design, production or testing |
|  | 🞏  | Components for any of the foregoing |
| If yes, please describe the activity at issue in more detail: | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| **4.**  | 🞏 Yes 🞏 No  | Will the project involve the development or modification of, or access to, technical data related to the design, production or use[[1]](#footnote-1) of any equipment, components, materials or other items (e.g., development of, or access to, technical specifications, blueprints, diagrams, models, instructions or manuals regarding the operation, production, installation, maintenance, or use of any of equipment, components, materials or other items)? |
| If yes, please request the Supplemental Checklist of Nuclear Technology Items for Export Control under the Export Administration Regulations. |
| **Solicitation/Contractual/Funding Issues** |
| **6.**  | 🞏 Yes 🞏 No  | Will the results of the research be proprietary, restricted from release to certain persons or otherwise not eligible for publication? |
| **7.**  | 🞏 Yes 🞏 No  | Does the solicitation (RFP, RFA, BAA or other solicitation), contract, subcontract or other agreement include an export control provision or other restrictions regarding publication, disclosure of information or participation of Foreign Persons? |
| **8.** | 🞏 Yes 🞏 No  | Does the solicitation (RFP, RFA, BAA or other solicitation), contract, subcontract or other agreement identify any information or services as any of the following: Classified Information, Unclassified Controlled Nuclear Information (UCNI), Official Use Only (OUO), Export Controlled Information (ECI) or Restricted Data[[2]](#footnote-2)? |
| **9.**  | 🞏 Yes 🞏 No  | Is the project funded (wholly or in part) by private sources or any government agency other than the U.S. Department of Energy?  |
|  | If yes, please describe the sources of the funding: | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

**International Activities**

|  |  |  |
| --- | --- | --- |
| **10.**  | 🞏 Yes 🞏 No  | Will the project involve any parties or activities located outside the United States, or any U.S. affiliates or representatives of foreign entities or governments?  |
|  | If yes, please identify the parties at issue and their country of location: | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

|  |  |  |
| --- | --- | --- |
| **11.**  | 🞏 Yes 🞏 No  | Will the project involve any exports by GW of equipment, components, software or materials or any imports by GW of equipment, components, software or materials required for this project (including for incorporation into a deliverable item on this project)?[[3]](#footnote-3)  |
|  | If yes, please identify the items at issue: | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

1. In order to meet the definition of “use” under the EAR, the technical information at issue must include all of the following elements: operation, installation (including on-site installation), maintenance (checking), repair, overhaul and refurbishing. If the project will involve any repair, overhaul or refurbishing activities, please contact resinteg@gwu.edu for further assistance. [↑](#footnote-ref-1)
2. “Restricted Data” means all data concerning: (1) design, manufacture or utilization of atomic weapons; (2) the production of special nuclear material (meaning plutonium, uranium-233, or uranium enriched above 0.711 percent by weight in the isotope uranium-235); or (3) the use of special nuclear material in the production of energy, but does not include data declassified or removed from the Restricted Data category pursuant to section 142 of the Atomic Energy Act. [↑](#footnote-ref-2)
3. Please note that the import of parts, components or software by a third party supplier or manufacturer for the manufacture of a product that GW purchases is not considered to be an import by GW, assuming that GW is not in any way involved in the import. [↑](#footnote-ref-3)